

06-05-07

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PTO/SB/21 (04-07)

Approved for use through 09/30/2007. OMB 0651-0031

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

|                        |                        |
|------------------------|------------------------|
| Application Number     | 09/819,256-Conf. #2099 |
| Filing Date            | March 27, 2001         |
| First Named Inventor   | Forrest B. Phillips    |
| Art Unit               | 3764                   |
| Examiner Name          | F. C. Mathew           |
| Attorney Docket Number | W0612.70001US01        |

## ENCLOSURES (Check all that apply)

- |  |  |   |
|--|--|---|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Reply to Missing Parts/Incomplete Application<br><input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____<br><input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>1449 Form with references<br>DVD disk<br>Return Receipt Postcard<br>Check for \$180.00 |
|--|--|---|

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|              |                                |          |        |
|--------------|--------------------------------|----------|--------|
| Firm Name    | WOLF, GREENFIELD & SACKS, P.C. |          |        |
| Signature    |                                |          |        |
| Printed name | Shannon Pratt                  |          |        |
| Date         | June 4, 2007                   | Reg. No. | 55,548 |

Express Mail Label No. EV493496489US

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Date of Mailing: June 4, 2007

DOCKET NO.: W0612.70001US01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Forrest B. Phillips  
Serial No.: 09/819,256  
Confirmation No.: 2099  
Filed: March 27, 2001  
For: SLIDING EXERCISE APPARATUS AND  
RECREATIONAL DEVICE

Examiner: Fenn C. Mathew  
Art Unit: 3764

**MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

STATEMENT FILED PURSUANT TO THE DUTY OF  
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed more than three months after the filing date of this application and after the mailing date of the first Office action, but before the mailing date of any final action under 37 C.F.R. §1.113, a Notice of Allowance under 37 C.F.R. §1.311, or an action that otherwise closes prosecution in this application.

The fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) is enclosed.

06/06/2007 RMEBRANT 00000039 09819256

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PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified PTO/SB/08). The order of presentation of the references should not be construed as an indication of the importance of the references.

PART III: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 (modified PTO/SB/08) be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Serial No.: 09/819,256  
Conf. No.: 2099

- 3 -

Art Unit: 3764

Notwithstanding any statements by the Applicant, the Examiner is urged to form his or her own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

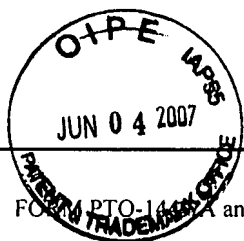
Respectfully submitted,

By:



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Docket No.: W0612.70001US01  
Date: June 4, 2007  
**xNDDx**



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|--|--|--------------------------------|-----------------------------------|
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b> |  | APPLICATION NO.: 09/819,256    | ATTY. DOCKET NO.: W0612.70001US01 |
|  |  | FILING DATE: March 27, 2001    | CONFIRMATION NO.: 2099            |
|  |  | APPLICANT: Forrest B. Phillips |                                   |
|  |  | GROUP ART UNIT: 3764           | EXAMINER: Fenn C. Mathew          |
| Sheet  |  | of                             |                                   |

#### U.S. PATENT DOCUMENTS

| Examiner's Initials # | Cite No. | U.S. Patent Document |           | Name of Patentee or Applicant of Cited Document | Date of Publication or Issue of Cited Document MM-DD-YYYY |
|-----------------------|----------|----------------------|-----------|---|---|
|                       |          | Number               | Kind Code |   |   |
|                       |          | 6,142,879            |           | Phillips  | 11-07-2000  |
|                       |          | 6,231,483            |           | Phillips  | 05-15-2001  |
|                       |          | 6,984,195            |           | Phillips  | 01-10-2006  |

#### FOREIGN PATENT DOCUMENTS

| Examiner's Initials # | Cite No. | Foreign Patent Document |        |           | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Translation (Y/N) |
|-----------------------|----------|-------------------------|--------|-----------|---|--|-------------------|
|                       |          | Office/Country          | Number | Kind Code |   |  |                   |
|                       |          |                         |        |           |   |  |                   |
|                       |          |                         |        |           |   |  |                   |
|                       |          |                         |        |           |   |  |                   |

#### OTHER ART — NON PATENT LITERATURE DOCUMENTS

| Examiner's Initials # | Cite No | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | Translation (Y/N) |
|-----------------------|---------|---|-------------------|
|                       |         | Video still shots of inflatable slide made by Inflatable 2000 at "X Games" in San Francisco, CA on August 20, 2000 (Video taken by inventor, Forrest Phillips) 2 pgs  |                   |
|                       |         | Video still shots of a poster of inflatable slides made by Magic Jump at "Fun Expo 2000" a trade show in Las Vegas, NV on September 23, 2000 (Video taken by inventor, Forrest Phillips) 3 pgs  |                   |
|                       |         | Video still shots of a poster of an inflatable slide made by Ninja Jump at "Fun Expo 2000" a tradeshow in Las Vegas, NV on September 23, 2000 (Video taken by inventor, Forrest Phillips) 2 pgs   |                   |
|                       |         | Magic Jump brochure of inflatable slide item numbers 11410S and 13410S from "Fun Expo 2000" a tradeshow in Las Vegas, NV on September 23, 2000, 2 pgs   |                   |
|                       |         | Inflatable 2000 brochure of inflatable slides with product price list from meeting between inventor, Forrest Phillips and Inflatable 2000 which occurred on September 11, 2000, 5 pgs   |                   |
|                       |         | DVD video taken by inventor, Forrest Phillips, tracking invention process from 1999-2001, approximately 14 minutes 59 seconds   |                   |
|                       |         | <a href="http://www.inflatable2000.com/interactives/waterslide.html">www.inflatable2000.com/interactives/waterslide.html</a> , printed 8/27/02, 2 pgs   |                   |

|           |                  |
|-----------|------------------|
| EXAMINER: | DATE CONSIDERED: |
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# EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

\*a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. \_\_, filed \_\_, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).

[NOTE – No copies of U.S. patents, published U.S. patent applications, or pending, unpublished patent applications stored in the USPTO's Image File Wrapper (IFW) system, are included. See 37 CFR §1.98 and 1287OG163. Copies of all other patent(s), publication(s), unpublished, pending U.S. patent applications, or other information listed are provided as required by 37 CFR §1.98 unless 1) such copies were provided in an IDS in an earlier application that complies with 37 CFR §1.98, and 2) the earlier application is relied upon for an earlier filing date under 35 U.S.C. §120.]